# Neutron Scattering Part 1: Theory

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- Why neutrons?
- Static (elastic) scattering
- Dynamic (inelastic/quasielastic) scattering

## Scattering probes: cross sections

Wavelength $\lambda$
Length scale d
Scattered by





Light 400...700 nm 200 nm...500 µm fluctuations of refractive index



# SANS classical example

How can the structure of a single polymer chain in a melt be studied?



Isotopic Labelling:

 $b_{\rm H} = -3.74 \text{ fm}$   $b_{\rm D} = +6.67 \text{ fm}$ 

Scattering contrast with negligible chemical difference

Polystyrene: [Schelten et al. 1973]



Fit with Debye function: Validity of Flory's theory

# Elastic and inelastic scattering

# What is the difference between elastic and inelastic scattering?

#### **Elastic scattering**

- scatterers are (assumed) fixed in space
- no energy transfer



#### Inelastic scattering

- scatterers are moving or moved by neutron
- energy transfer



# Elastic and inelastic scattering

# What is the difference between elastic and inelastic scattering?

#### **Elastic scattering**

- scatterers are (assumed) fixed in space
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- Evidence:
  - high mass  $m_{\text{scatterer}} >> m_{\text{n}}$
  - low temperature  $k_{\rm B}T \ll E_{\rm n}$
  - crystal or glass

#### Inelastic scattering

- scatterers are moving or moved by neutron
- energy transfer



- Evidence:
  - low mass  $m_{\text{scatterer}} \approx m_{\text{n}}$
  - high temperature  $k_{\rm B}T \approx E_{\rm n}$
  - liquid or gas

# Scattering probes: energies

f [Hz]



Energy of x-rays is often too high to detect inelastic scattering.

# Neutron scattering from static systems (elastic scattering)

#### The scattering triangle (elastic scattering): Q vector

Elastic scattering  $\Rightarrow$  no energy transfer  $\Rightarrow$  E' = E,  $\lambda' = \lambda$ , k' = kSource  $k = 2\pi / \lambda$ 



The scattering triangle is isosceles:

$$Q = 2k\sin\theta = \frac{4\pi}{\lambda}\sin\theta$$

Only difference k'-k matters, same Q with different  $\lambda$ - $\theta$  combinations:



 $2\theta$ 

Detector

 $k' = 2\pi / \lambda$ 

# Structure factor

position of scatterer  $r_j \rightarrow$  path length difference  $Q \bullet r_i \rightarrow$  phase shift  $exp(iQ \bullet r_i)$ :

$$\frac{\mathrm{d}\,\boldsymbol{\sigma}}{\mathrm{d}\,\boldsymbol{\Omega}} = \left\langle \left| \sum_{j=1}^{N} b_j \exp\left(\mathrm{i}\,\boldsymbol{Q}\cdot\boldsymbol{r}_j\right) \right|^2 \right\rangle$$



(Differential cross section)

Assume that all scatterers are identical (for neutrons: also isotope and spin-orientation identical):

$$\frac{\mathrm{d}\sigma}{\mathrm{d}\Omega} = |b|^2 \left\langle \left| \sum_{j=1}^N \exp\left(\mathrm{i}\mathbf{Q}\cdot\mathbf{r}_j\right) \right|^2 \right\rangle$$

N and b depend on the specific choice of the sample, the rest of the formula expresses the structure

$$\frac{\mathrm{d}\sigma}{\mathrm{d}\Omega} = \left|b\right|^2 N \left\langle \frac{1}{N} \left|\sum_{j=1}^{N} \exp(\mathrm{i}\mathbf{Q} \cdot \mathbf{r}_j)\right|^2 \right\rangle = \left|b\right|^2 N S(\mathbf{Q})$$
  
With  $S(\mathbf{Q}) = \left\langle \frac{1}{N} \left|\sum_{j=1}^{N} \exp(\mathrm{i}\mathbf{Q} \cdot \mathbf{r}_j)\right|^2 \right\rangle$  being the structure factor

# Continuum picture

How does the scattering look like for a scattering continuum? I.e. if

- the scatterer *is* continuous (magnetic moment of unpaired electrons)
- individual scatterers can not be resolved for given Q (SANS, SAXS:  $Q \ll 2\pi$  / distance )

$$S(\mathbf{Q}) = \frac{1}{N} \left\langle \left| \int_{V} d^{3} r \exp(i \mathbf{Q} \cdot \mathbf{r}) \rho(\mathbf{r}) \right|^{2} \right\rangle$$

Equivalence by  $\rho(\mathbf{r}) = \sum_{j=1}^{N} \delta(\mathbf{r} - \mathbf{r}_{j})$ 

#### Mesoscopic description, scattering length density

Is this good for anything in practice? If we know the position of each atom (crystal), no. But if we only have a ,mesoscopic' description, yes:



This is an acceptable approximation if  $Q \ll 2\pi$  / distance (small-angle scattering, reflectometry).

Generalisation to mixtures of scatterers:

$$\frac{\mathrm{d}\sigma}{\mathrm{d}\Omega} = \left\langle \left| \int_{V} \mathrm{d}^{3} r \exp(\mathrm{i}\mathbf{Q} \cdot \mathbf{r}) \rho_{b}(\mathbf{r}) \right|^{2} \right\rangle \text{ with } \rho_{b}(\mathbf{r}) = \sum_{j=1}^{N} b_{j} \delta(\mathbf{r} - \mathbf{r}_{j})$$

 $\rho_b$  is the scattering length density (dimension: m<sup>-2</sup>).

The second way to derive the differential cross section (not just a mathematical exercise but necessary if  $\rho(\mathbf{r})$  is not known)

$$\frac{\mathrm{d}\,\boldsymbol{\sigma}}{\mathrm{d}\,\boldsymbol{\Omega}} = \left\langle \left| \sum_{i=1}^{N} b_{j} \exp\left(\mathrm{i}\,\mathbf{Q}\cdot\mathbf{r}_{i}\right) \right|^{2} \right\rangle = \left\langle \left( \sum_{i=1}^{N} b_{i} \exp\left(\mathrm{i}\,\mathbf{Q}\cdot\mathbf{r}_{i}\right) \right)^{*} \left( \sum_{i=1}^{N} b_{i} \exp\left(\mathrm{i}\,\mathbf{Q}\cdot\mathbf{r}_{i}\right) \right) \right\rangle = \left\langle \left( \sum_{i=1}^{N} b_{i}^{*} \exp\left(-\mathrm{i}\,\mathbf{Q}\cdot\mathbf{r}_{i}\right) \right) \right\rangle \left( \sum_{i=1}^{N} b_{i} \exp\left(\mathrm{i}\,\mathbf{Q}\cdot\mathbf{r}_{i}\right) \right) \right\rangle = \left\langle \sum_{i,j=1}^{N} b_{i}^{*} b_{j} \exp\left(\mathrm{i}\,\mathbf{Q}\cdot(\mathbf{r}_{j}-\mathbf{r}_{i}\right) \right) \right\rangle$$

- The scattering originates from particle pairs (except for i = j).
- Only distances between particles matter, not their absolute positions.

Structure factor (identical particles):

$$S(\mathbf{Q}) = \left\langle \frac{1}{N} \sum_{i,j=1}^{N} \exp\left(i \mathbf{Q} \cdot \left(\mathbf{r}_{j} - \mathbf{r}_{i}\right)\right) \right\rangle$$

# Pair correlation function

How does the continuum picture look here?

Microscopic two-particle density:

$$\rho(\mathbf{r}_1)\rho(\mathbf{r}_2) = \sum_{i,j=1}^N \delta(\mathbf{r}_1 - \mathbf{r}_i)\delta(\mathbf{r}_2 - \mathbf{r}_j)$$

The average is not just the average density squared:

$$\langle \rho(\mathbf{r}_1) \rho(\mathbf{r}_2) \rangle \neq \langle \rho(\mathbf{r}_1) \rangle \langle \rho(\mathbf{r}_2) \rangle = \rho_0^2$$

... but in homogeneous systems the ,starting point' is arbitrary:

$$\left\langle \rho(\mathbf{r}_{1})\rho(\mathbf{r}_{2})\right\rangle = \left\langle \rho(\mathbf{0})\rho(\mathbf{r}_{2}-\mathbf{r}_{1})\right\rangle$$

Structure factor as Fourier transform of the pair correlation function:

$$S(\mathbf{Q}) = \left\langle \sum_{i,j=1}^{N} \exp\left(i\mathbf{Q}\cdot\left(\mathbf{r}_{j}-\mathbf{r}_{i}\right)\right) \right\rangle = \frac{1}{\rho_{0}} \int_{V_{d}} d^{3}r \exp\left(i\mathbf{Q}\cdot\mathbf{r}\right) \left\langle \rho(\mathbf{0})\rho(\mathbf{r}) \right\rangle$$

#### Alternative definitions of the pair correlation function

 $\langle \rho(\mathbf{0})\rho(\mathbf{r})\rangle = \rho_0 \left\langle \sum_{i,j=1}^N \delta(\mathbf{r} - \mathbf{r}_j + \mathbf{r}_i) \right\rangle$  has a delta function at r = 0 which can be removed. Also it can be converted to a dimensionless quantity:

$$g(\mathbf{r}) = \frac{\left\langle \rho(\mathbf{0})\rho(\mathbf{r})\right\rangle}{\rho_0^2} - \frac{\delta(\mathbf{r})}{\rho_0}$$

With this ,literature standard' the final result is:

$$S(\mathbf{Q}) = 1 + \rho_0 \int_{V_d} d^3 r \exp(i\mathbf{Q} \cdot \mathbf{r}) (g(\mathbf{r}) - 1)$$
  
compensates delta function in  $g(\mathbf{r})$  avoids delta function in  $S(\mathbf{Q})$ 

Often isotropic materials,  $g(\mathbf{r})$  does not depend on direction:

$$S(Q) = 1 + \frac{4\pi\rho_0}{Q} \int_0^\infty (g(r) - 1) \sin(Qr) r \, dr$$

Form factor of a sphere  

$$P(\mathbf{Q}) = \frac{1}{N^2} \left\langle \left| \int_V d^3 r \exp(i\mathbf{Q} \cdot \mathbf{r}) \rho(\mathbf{r}) \right|^2 \right\rangle \quad \text{with } \rho(r) = \begin{cases} \tilde{\rho} \text{ for } r < R \\ 0 \text{ for } r > R \end{cases}$$

Fourier transform for spherical symmetry:  $\int d^3 r \exp(i\mathbf{Q}\cdot\mathbf{r}) f(\mathbf{r}) = \frac{4\pi}{Q} \int_0^\infty f(r) r \sin(Qr) dr$ 

$$\int d^{3}r \exp(i\mathbf{Q}\cdot\mathbf{r})\rho(\mathbf{r}) = \frac{4\pi}{Q} \int_{0}^{R} \tilde{\rho}r \sin(Qr) dr = \frac{4\pi}{Q} \int_{0}^{QR} \tilde{\rho}\frac{x}{Q} \sin x \frac{dx}{Q} = \frac{4\pi\tilde{\rho}}{Q^{3}} \int_{0}^{QR} x \sin x dx =$$
$$= \frac{4\pi\tilde{\rho}}{Q^{3}} \left(\sin(QR) - QR\cos(QR)\right)$$
$$\tilde{\rho} = \frac{N}{V_{\text{sphere}}} = \frac{3N}{4\pi R^{3}} \implies \dots = \frac{3N}{Q^{3}R^{3}} \left(\sin(QR) - QR\cos(QR)\right)$$
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## Form factor of a sphere



Decays rapidly because of  $1/Q^4$  term, better double-log plot!

# Form factor of a sphere



# **Guinier** approximation

The low Q form factor can be approximated as

$$P_{\text{Guinier}}(Q) = \exp\left(-\frac{Q^2 R_g^2}{3}\right) = 1 - \frac{Q^2 R_g^2}{3} \dots$$

For any finite-size particle one can calculate the radius of gyration:

$$R_{\rm g}^{2} = \frac{\int_{V} \rho(r) r^{2} \, {\rm d}^{3} r}{\int_{V} \rho(r) \, {\rm d}^{3} r}$$

For the sphere:

$$R_{g}^{2} = \frac{\int_{V} \rho(r) r^{2} d^{3} r}{\int_{V} \rho(r) d^{3} r} = \frac{\int_{0}^{R} \tilde{\rho} r^{2} 4\pi r^{2} dr}{\int_{0}^{R} \tilde{\rho} r^{2} 4\pi dr} = \frac{4\pi \tilde{\rho} R^{5} / 5}{4\pi \tilde{\rho} R^{3} / 3} = \frac{3}{5} R^{2}$$

# Form factor of a sphere



# Porod law

High *Q* behaviour of the form factor:

$$\lim_{Q \to \infty} P(Q) = \frac{2\pi S_{\text{particle}}}{V_{\text{particle}}^2} \frac{1}{Q^4}$$

Valid for any compact particle with sharp boundaries.

For the sphere:

$$\frac{2\pi S_{\text{particle}}}{V_{\text{particle}}^{2}}\frac{1}{Q^{4}} = \frac{2\pi 4\pi R^{2}}{\left(4\pi R^{3}/3\right)^{2}} = \frac{9}{2R^{4}}\frac{1}{Q^{4}}$$